

FORM PTO-1390
(REV. 1-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1463 US/PCT

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/579858

INTERNATIONAL APPLICATION NO.
PCT/US2004/038585INTERNATIONAL FILING DATE
17 NOVEMBER 2004PRIORITY DATE CLAIMED
17 NOVEMBER 2003

TITLE OF INVENTION

MULTI-OUTLET CASTING NOZZLE

APPLICANT(S) FOR DO/EO/US

HEASLIP et al.

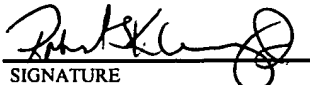
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Return Receipt Postcard
 Certificate of Express Mailing (Post Office to Addressee)
 Power of Attorney Document
 A Copy of the Application As Originally Filed
 A Copy of the PCT Publication with Search Report
 A Copy of the PCT International Preliminary Examination Report

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|---|--------------|---|------------|----|--------|
| U.S. APPLICATION NO. 10/579858 INTERNATIONAL APPLICATION NO. PCT/US2004/038585 | | ATTORNEY'S DOCKET NUMBER 1463 US/PCT | | | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1070.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$930.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$98.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | CALCULATIONS PTO USE ONLY <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none; text-align: right;">950.00</td> </tr> </table> | | \$ | 950.00 |
| \$ | 950.00 | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table> | | \$ | |
| \$ | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | - 20 = | | x \$22.00 | | |
| Independent claims | - 3 = | | x \$82.00 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | \$ | | | |
| Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table> | | \$ | |
| \$ | | | | | |
| SUBTOTAL = | | \$ | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none;"></td> </tr> </table> | | \$ | |
| \$ | | | | | |
| TOTAL NATIONAL FEE = | | \$ | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | <table style="width: 100%; border: none;"> <tr> <td style="width: 60%; border: none;">\$</td> <td style="width: 40%; border: none; text-align: right;">40.00</td> </tr> </table> | | \$ | 40.00 |
| \$ | 40.00 | | | | |
| TOTAL FEES ENCLOSED = | | \$ | | | |
| | | Amount to be refunded: | | | |
| | | charged: \$990.00 | | | |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>22-0281</u> in the amount of \$ <u>990.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0281</u> . A duplicate copy of this sheet is enclosed. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: VESUVIUS USA ATTN: ROBERT S. KLEMZ, JR. RIDC PARK WEST 250 PARK WEST DRIVE PITTSBURGH, PA 15275 | | | | | |
| | |  SIGNATURE | | | |
| | | Robert S. Klemz, Jr. NAME | | | |
| | | 46305 REGISTRATION NUMBER | | | |
| | | | | | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of) **HEASLIP et al.**
Title) **MULTI-OUTLET CASTING NOZZLE**
Attorney Docket No.) **1463 US/PCT**

To: Commissioner for Patents
Mail Stop PCT
P.O. Box 1450
Alexandria, VA 22313-1450

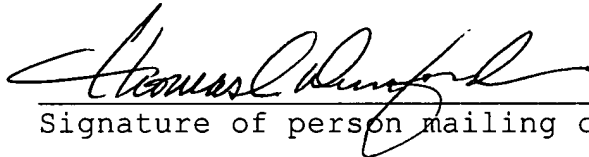
Express Mail Certificate

Date of Deposit: MAY 17, 2006

To: Commissioner for Patents

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 on the date indicated above and was addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail number ER678948301US



Signature of person mailing correspondence

Thomas C. Dunford

Name of person mailing correspondence